	1		410 Rec'	d PCT/PTO 1 1 SEP 2001					
FORM I	PTO-139	0 (Modified) U.S. DEPARTMEN	T OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER					
(KZV I	Τ̈́F	RANSMITTAL LE TÊR	TO THE UNITED STATES	112843-029					
			ED OFFICE (DO/EO/US)	U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR					
l			NG UNDER 35 U.S.C. 371	U9 / 936367					
INTE	•	IONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED					
		PCT/EP00/01796	2 March 2000	11 March 1999					
		NVENTION SION OF PROTEOLYTIC	ENZYMES IN KOJI MOLD IN THE I	PRESENCE OF CARBON SOURCES					
1		T(S) FOR DO/EO/US							
Affo	lter e	et al.							
Appli	icant h	nerewith submits to the United St	ates Designated/Elected Office (DO/EO/US) t	the following items and other information:					
1.	\boxtimes		items concerning a filing under 35 U.S.C. 371						
2.		This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.							
3.	Ø	This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).							
4.	\boxtimes	A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.							
5.	\boxtimes	A copy of the International Application as filed (35 U.S.C. 371 (c) (2))							
ľ		a. \(\sigma \) is transmitted herewith (required only if not transmitted by the International Bureau).							
		b. has been transmitted by the International Bureau.							
		c. \square is not required, as the application was filed in the United States Receiving Office (RO/US).							
6.	□-	A translation of the International Application into English (35 U.S.C. 371(c)(2)).							
7.	⊠ .	A copy of the International Search Report (PCT/ISA/210).							
8.	×	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))							
		a. are transmitted herewith (required only if not transmitted by the International Bureau).							
٠		b. have been transmitted by the International Bureau.							
·		c. have not been made; however, the time limit for making such amendments has NOT expired.							
	_	d. have not been made and will not be made.							
9.		A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
10.	⊠ M	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).							
11.	⊠	A copy of the International Preliminary Examination Report (PCT/IPEA/409).							
12.	Ш	A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).							
It	ems 1	3 to 20 below concern document	nt(s) or information included:						
13.		An Information Disclosure Statement under 37 CFR 1.97 and 1.98.							
14.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
15.	\boxtimes	A FIRST preliminary amendment.							
16.		A SECOND or SUBSEQUENT preliminary amendment.							
17.		A substitute specification.							
18.		A change of power of attorney and/or address letter.							
19.	\boxtimes	Certificate of Mailing by Express Mail							
20.	\boxtimes	Other items or information:							
		CNCM Confirmation Letter; Return Receipt Postcard.	and						

PCTUS1/REV03

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U.S. A	PPLICATION	NO. (IF KNOWN, SEE 37-CFR	INTERNATIONAL A			10.			OOCKET NUMBER	
	<u>U</u>	1/936361	PCT/E	P00/0179	96		_	11284	13-029	
21.	The fol	lowing fees are submitted:.					CA	LCULATIONS	PTO USE ONLY	
BASIC		L FEE (37 CFR 1.492 (a) (1)								
□ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000.00										
Ø	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but Internation Search Report prepared by the EPO or JPO									
☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)										
☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)						<u></u>				
		ENTER APPROPE	RIATE BASIC FE	EE AM	OUI	= T/	İ	\$860.00		
Surcha month	arge of \$130.0 s from the ear	00 for furnishing the oath or decliest claimed priority date (37	claration later than CFR 1.492 (e)).	□ 2	0	□ 30		\$0.00		
CL.	AIMS	NUMBER FILED	NUMBER EXT	RA.		RATE				
Total c	laims	18 - 20 =	0		х	\$18.00		\$0.00		
Indepe	ndent claims	4 - 3=	1		х	\$78.00		\$78.00		
Multi	ple Dependen	t Claims (check if applicable)						\$0.00		
			F ABOVE CALO	CULAT	101°	VS =		\$938.00	<u>-</u>	
Reduct must a	tion of 1/2 for lso be filed (r filing by small entity, if appl Note 37 CFR 1.9, 1.27, 1.28)	icable. Verified Small E check if applicable).	Entity Stat	emen	t		\$0.00		
				SUB'	TOI	TAL =		\$938.00		
Proces month	sing fee of \$1 s from the ear	130.00 for furnishing the Engli	sh translation later than CFR 1.492 (f)).	□ 2	0	□ 30 +		\$0.00		
			TOTAL NAT	IONA	L FI	EE =	+	\$938.00		
Fee for	r recording th panied by an	e enclosed assignment (37 CF appropriate cover sheet (37 CI	R 1.21(h)). The assignm	ent must l	be			\$0.00		
TOTAL FEES ENCLOSED =							\$938.00			
							Am	ount to be: refunded	\$	
								charged	\$	
×	A check in	the amount of \$938.00	to cover the above	fees is en	closed	l.	<u> </u>			
	Please charge my Deposit Account No. in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed.							e fees.		
×	The Comm	nissioner is hereby authorized t	o charge any foos which	may ha ==	anira.	d or cradit	any c	/amayment		
۵		Account No. 02-1818	A duplicate copy of the	-	-		uny U	cipayment		
	E: Where an	appropriate time limit unde	r 37 CFR 1.494 or 1.495	5 has not	been	met, a peti	tion to	revive (37 CFF	₹	
1		ist be filed and granted to re	store the application to	pending:	status	. //)		
SEND	ALL CORR	ESPONDENCE TO:		ר					_	
Robert M. Barrett, Esq. Bell, Boyd & Lloyd LLC						SIGNATURE				
P.O. Box 1135 Chicago, Illinois 60600, 1125					Robert M. Barrett					
Chicago, Illinois 60690-1135 Tel: (312) 807-4204					NA	ME				
				30,142				•		
						GISTRAT	ION N	IIIMREP		
				September 11			11, 20	., 2001		
•					DA	ATE				

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CERTIFICATE OF Applicant(s): Affolter et	MAILING BY "EXPRESS I	MAIL" (37 CFR 1.10)	U 9 0 0 5 5 5 5 6 7 112843-029						
Serial No. Unknown	Filing Date Herewith	Examiner Unknown	Group Art Unit Unknown						
Invention: EXPRESSIO SOURCES	ON OF PROTEOLYTIC ENZYM	ES IN KOJI MOLD IN THE PI	RESENCE OF CARBON						
I hereby certify that the	e following correspondence:								
Transmittal Letter (duplicate); International Application as Published; Declaration and Power of Attorney (4 pages); Search Report; Preliminary Examination Report; Preliminary Amendment (5 pages); CNCM Confirmation Letter; Check in the amount of \$938.00; and Return Receipt Postcard.									
	(Identify type of correspondence)								
	n the United States Postal Servivelope addressed to: The Assista	·							
September	11. 2001		_						
(Date	-	Robert Bot							
		(Typed or Printed Name of Person Mailing Correspondence) (Signature of Person Mailing Correspondence)							
		(Signature of Person Matting Correspondence)							
		EL 647 237 261 US							
		("Express Mail" Mailing Label Number)							

Note: Each paper must have its own certificate of mailing.



25, Rue du Docteur Roux F-75724 PARIS CEDEX 15

Tel: (33-1) 45 68 82 50 Fax: (33-1) 45 68 82 36

09/936367 Paris, le 09 mars 1999

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Monsieur Roman VUILLE SOCIETE DES PRODUITS NESTLE S.A. Patents Department Avenue Nestlé 55 CH-1800 VEVEY SUISSE

12 MARS 1999

LETTRE RECOMMANDEE
AVEC ACCUSE DE RECEPTION

ET TELECOPIE: (41) 21 924 28 80 / (41) 21 785 89 25

N/R : CNCM-6723.903

Ohj : Enregistrement d'un champignon filamenteux "NF14 (areA 1, creA 1)"

en vue d'un dépôt aux termes du Traité de Budapest

Cop: Monsieur Peter van den Broek

Nestlé Research Centre, Vers-chez-les-Blanc, P.O. Box 44, CH-1000 LAUSANNE 26

Monsieur,

Par la présente, nous vous confirmons avoir reçu ce jour, en vue d'un dépôt initial suivant la règle 6.1 du Traité de Budapest, une subculture sur gélose pour le microorganisme identifié ci-dessous ainsi que les documents originaux y relatifs.

Votre projet de dépôt a été enregistré à la CNCM à la date du **09 MARS 1999** sous le numéro suivant

Référence d'identification

Numéro d'enregistrement CNCM

NF14 (are A1, creA 1)

1-2165

Si un dépôt est accepté, le numéro d'ordre attribué par la CNCM est identique au numéro d'enregistrement et la date du dépôt est la date de l'enregistrement.

Selon les indications données au cours de notre conversation téléphonique de ce jour :

Le matériel microbien n'a pas été remis sous forme de préparations lyophilisées ou congelées conformément aux exigences particulières de la CNCM en application de la règle 6.3.a.i) du Traité de Budapest.

En conséquence, l'acceptation du présent projet de dépôt sera soumise, à la fin des contrôles de viabilité et de pureté, à un accord préalable par le responsable scientifique du déposant sur la conformité d'une subculture d'un lot congelé, préparé par la CNCM.

Restant à votre disposition, nous vous prions d'agréer, Monsieur, l'expression de notre considération distinguée.

Leving